Two Novel Thermal Biradical Cyclizations of Enyne-Ketenimines: Theory, Experiment, and Synthetic Potential**

Michael Schmittel,* Jens-Peter Steffen, Miguel Á. Wencesla Ángel, Bernd Engels,* Christian Lennartz, and Michael Hanrath

We recently reported a novel thermal C^2-C^6 cyclization of enyne-allenes $\bf 2$ (A, B=carbon) to biradical $\bf 3^{[1]}$ that completely displaces the well-known Myers-Saito (C^2-C^7) cycloaromatization^[2] to biradical $\bf 1$ if an aryl substituent (R=phenyl) is attached at the alkyne terminus (Scheme 1). Because biradical intermediate $\bf 3$ (A, B=carbon) can react in an intramolecular way to give formal $[4+2]^{[3]}$ or $[2+2]^{[4]}$ cycloadducts and ene products,^[5] it is a versatile intermediate for the construction of various ring systems.^[6]

1 2 3

a:
$$R = H, A = N, B = CH_2$$

b: R = Ph, A = N, B = CH₂ Scheme 1. Biradical intermediates 1 and 3 for thermal $C^2 - C^7$ and $C^2 - C^6$ evelizations, respectively.

The synthetic value of such biradical cyclizations should increase further if CH_n groups in the enyne-allene were replaced by heteroatoms. Indeed, a while ago enyne-ketenes (A = carbon, B = oxygen) were shown to undergo $C^2 - C^7$ and $C^2 - C^6$ cyclizations.^[7] We now report theoretical and experimental evidence that points to the occurrence of analogous biradical cyclizations in enyne-ketenimines (A = nitrogen, B = carbon), which makes these compounds interesting precursors for benzocarbazoles and quinolines.

To study the influence of substituents at the alkyne terminus on the reaction behavior of enyne-ketenimines we carried out quantum-chemical calculations. In contrast to our recent calculations on the thermal cyclization of enyne-allenes $(A=CH,B=CH_2)^{[8,\ 9]}$ it was necessary in the present study to

[*] Prof. Dr. M. Schmittel, Dipl.-Chem. J.-P. Steffen, M. Á. Wencesla Ángel

Institut für Organische Chemie der Universität

Am Hubland, D-97074 Würzburg (Germany)

Fax: (+49) 931-888-4606

E-mail: mjls@chemie.uni-wuerzburg.de

Priv.-Doz. Dr. B. Engels, Dipl.-Chem. C. Lennartz,

Dipl.-Chem. M. Hanrath

Institut für Physikalische und Theoretische Chemie der Universität

Wegelerstrasse 12, D-53115 Bonn (Germany) Fax: (+49) 228-73-9066

E-mail: bernd@rs5.thch.uni-bonn.de

[**] Thermal and Electron Transfer Induced Reactions of Enediynes and Enyne-Allenes, Part 12. We gratefully acknowledge financial support by the Deutsche Forschungsgemeinschaft and the Fonds der Chemischen Industrie. Part 11: reference [6d].

include the annulated benzene ring, because otherwise the biradical intermediates are found to have a different electronic structure. A correct description of the biradical intermediates requires a multireference approach. However, because the biradical nature of the wave function develops only beyond the transition state (TS)—that is, in the density function theory (DFT) calculations [S²] = 0 for all the transition states he influence of substituents on the activation energy ΔE^{\pm} in both processes can be determined from DFT calculations. Consequently, activation energies were studied with a density functional approach in combination with a 6-31G* AO basis set, whereas a multireference configuration interaction (MR-CI) approach was used to compute the reaction energies ($\Delta E^{\rm r}$). Let 13

Just as in the case of the enyne-allene (Z)-1,2,4-heptatrien-6-yne, the theoretical results (Table 1) suggest a change in the

Table 1. Summary of the calculated data. The energies of the reactants are in Hartree, and those for the other structures relative to the reactants are in kcal mol⁻¹. The energies of transition states are indicated in boldface.

Substituent	a: R = H	$\mathbf{b} \colon \mathbf{R} = \mathbf{P}\mathbf{h}$
reactant 2[a,b]	- 439.907358	- 670.050551
reactant 2[a,c]	-438.526790	_
C ² -C ⁷ cyclization		
$R_{\text{C}^2-\text{C}^7} = 2.1 \text{Å}^{[b]}$	23.4	30.0
$R_{\text{C}^2-\text{C}^7} = 2.0 \text{Å}^{[b]}$	25.3	33.1
$R_{\text{C}^2-\text{C}^7} = 1.93 \text{Å}^{[b]}$	25.8	34.1
$R_{\text{C}^2-\text{C}^7} = 1.8 \text{Å}^{[b]}$	23.9	31.1
$R_{\text{C}^2-\text{C}^7} = 1.43 \text{ Å } (1)^{[c,d]}$	-9.4	$-11^{[e]}$
C ² -C ⁶ cyclization		
$R_{\rm C^2-C^6} = 2.0 \rm \mathring{A}^{[b]}$	25.9	23.6
$R_{\text{C}^2-\text{C}^6} = 1.9 \text{Å}^{[b]}$	29.2	25.3
$R_{\text{C}^2-\text{C}^6} = 1.8 \text{Å}^{[b]}$	31.5	25.5
$R_{\text{C}^2-\text{C}^6} = 1.76 \text{Å}^{[b]}$	32.2	25.3
$R_{\rm C^2-C^6} = 1.7 \rm \mathring{A}^{[b]}$	24.9	24.5
$R_{\text{C}^2-\text{C}^6} = 1.50 \text{Å} (3)^{[c,d]}$	15.2	4 ^[e]

[a] $R_{C^2-C^7} = 3.55$ Å, $R_{C^2-C^6} = 3.04$ Å. [b] DFT(B3LYP) in combination with a 6-31G* basis set. [c] MR-CI+Q in combination with a double zeta polarization (DZP) basis set. [d] Biradical intermediate. [e] Reference [13].

regioselectivity of enyne-ketenimine cyclizations as a function of the group R at the alkyne terminus. While the C^2-C^7 cyclization $\mathbf{2a,b} \rightarrow \mathbf{1a,b}$ is exothermic for both systems, the alternative C^2-C^6 biradical cyclization is endothermic for $\mathbf{2a,b} \rightarrow \mathbf{3a,b}$. Nevertheless, both cyclizations are kinetically controlled, because intra- or intermolecular follow-up reactions $(k > 10^6 \, \mathrm{s}^{-1})^{[14]}$ of $\mathbf{1}$ and $\mathbf{3}$ are much more rapid than a ring-opening of biradical $\mathbf{1}$ or $\mathbf{3}$ to the enyne-ketenimine $\mathbf{2}$ (activation barrier $> 17 \, \mathrm{kcal} \, \mathrm{mol}^{-1}$).

For R=H our calculations predict an activation barrier ΔE^+ of 26 kcal mol^{-1} for the C^2-C^7 cyclization $2\mathbf{a} \rightarrow 1\mathbf{a}$, whereas it is much higher for a C^2-C^6 cyclization to $3\mathbf{a}$ ($\Delta E^+=32 \text{ kcal mol}^{-1}$). Notably, the activation barrier increases to 34 kcal mol^{-1} for the C^2-C^7 cyclization of $2\mathbf{b}$ to $1\mathbf{b}$ when H is replaced by Ph; however, it is decisively decreased for the C^2-C^6 cyclization of $2\mathbf{b}$ to $3\mathbf{b}$ ($\Delta E^+=26 \text{ kcal mol}^{-1}$). The calculations confirm that the change from a C^2-C^7 cyclization to a C^2-C^6 ring closure is even more pronounced than with the corresponding enyne-allenes.^[9]

To probe the above predictions, several enyne-ketenimines were prepared from the corresponding amides by standard procedures for simple ketenimines.^[15] As reported earlier,^[16] however, the desired enyne-ketenimines could not be isolated because under the reaction conditions they underwent rearrangement in the sense of the anticipated thermal biradical cyclizations. Accordingly, when we tried to convert alkynylphenyl amides **4a,b** into enyne-ketenimines **5a,b**, benzocarbazoles **8a,b** were isolated directly (Scheme 2). ^[17]

Scheme 2. Synthesis of benzocarbazoles 8a,b. a) 8a: Ph₃P, Br₂, NEt₃, CH₂Cl₂, reflux, 15 h; 8b: Ph₃P, Br₂, NEt₃, chlorobenzene, 80 °C, 21 h.

Although formation of 8a,b from 4a,b was expected based on our calculations, isolation of these products cannot be taken as a rigorous proof of a biradical cyclization via 6, because it could also be the result of a concerted Diels - Alder reaction $5\rightarrow 7$. Indeed, a similar cyclization was reported by Ghosez and Differding, [16] although they did not recognize it to be a biradical cyclization, which prevented full exploitation of the reaction's synthetic potential. To demonstrate experimentally that biradical 6 is an intermediate, both phenyl groups in enyne-ketenimine 5b were replaced by mesityl substituents. It is well established that concerted Diels – Alder reactions are prevented by ortho-alkyl substituents because of steric hindrance; [14a, 18] therefore, the only option available to 5c is a stepwise formal Diels-Alder cycloaddition. Transformation of 4c into enyne-ketenimine 5c produced benzocarbazole 8c as the only low-weight product, which constitutes rather strong evidence for biradical intermediate 6c (Scheme 3). This biradical subsequently closes to the formal Diels-Alder cycloadduct 7c, from which a methyl group is then lost. The latter reaction can be explained by the assumption that after protonation at the nitrogen atom in 7c the methyl group is eliminated in an S_N 2-type reaction, a transformation driven by the gain in aromatization energy.

Scheme 3. Synthesis of benzocarbazole 8c. a) Florisil, P_2O_5 , 1,4-cyclohexadiene, pyridine, reflux, 30 h (61% of 4c recovered).

We also investigated the thermal cyclization of enyneketenimine $\bf 5d$ with a hydrogen atom at the alkyne terminus to test experimentally the theoretical prediction of a preferred C^2-C^7 cyclization of $\bf 2a$ (Scheme 4). After converting amide

Scheme 4. Cyclization of ${\bf 5d}$ to quinolines ${\bf 10d}$ and ${\bf 11d}$. a) Florisil, P_2O_5 , 1,4-cyclohexadiene, pyridine, reflux, 3 d.

4d into enyne-ketenimine **5d** we obtained the quinolines **10d** (31%) and **11d** (15%); the latter is a product of addition of biradical intermediate **9d** to 1,4-cyclohexadiene. Both compounds clearly indicate a C^2-C^7 biradical cyclization for enyne-ketenimines, whereas no C^2-C^6 cyclization product has yet been detected.

We have thus provided both theoretical and experimental evidence for two novel thermal biradical cyclizations of enyne-ketenimines. The C^2-C^6 cyclization pathway also opens the way to a straightforward synthesis of benzocarbazoles via azafulvenemethyl biradicals. The present results have encouraged us to engage in further investigations of

heteroenyne-allenes in which other CH_n groups are replaced by hetero units (e.g., B = NH in 2).

Received: January 5, 1997 Revised version: February 8, 1998 [Z11319IE] German version: *Angew. Chem.* **1998**, *110*, 1633–1635

Keywords: cycloaromatizations • diradicals • enynes • ketenimines • quantum-chemical calculations

- [1] M. Schmittel, M. Strittmatter, S. Kiau, Angew. Chem. 1996, 108, 1952-1954; Angew. Chem. Int. Ed. Engl. 1996, 35, 1843-1845.
- [2] a) A. G. Myers, E. Y. Kuo, N. S. Finney, J. Am. Chem. Soc. 1989, 111, 8057-8059; b) R. Nagata, H. Yamanaka, E. Okazaki, I. Saito, Tetrahedron Lett. 1989, 30, 4995-4998; reviews: c) K. K. Wang, Chem. Rev. 1996, 96, 207-222; J. W. Grissom, G. U. Gunawardena, D. Klingberg, D. Huang, Tetrahedron 1996, 52, 6453-6518.
- [3] M. Schmittel, M. Strittmatter, K. Vollmann, S. Kiau, *Tetrahedron Lett.* **1996**, *37*, 999 1002.
- [4] a) T. Gillmann, T. Hülsen, W. Massa, S. Wocadlo, Synlett 1995, 1257 1259; b) J. G. Garcia, B. Ramos, L. M. Pratt, A. Rodríguez, Tetrahedron Lett. 1995, 36, 7391 7394.
- [5] M. Schmittel, M. Strittmatter, S. Kiau, Tetrahedron Lett. 1995, 36, 4975-4978.
- [6] a) M. Schmittel, S. Kiau, Liebigs Ann. Chem. 1997, 733-736; b) M. Schmittel, M. Maywald, M. Strittmatter, Synlett 1997, 165-166; c) M. Schmittel, J.-P. Steffen, I. Bohn, Heterocycl. Commun. 1997, 3, 443-447; d) M. Schmittel, J.-P. Steffen, D. Auer, M. Maywald, Tetrahedron Lett. 1997, 38, 6177-6180; e) Ó. de Frutos, A. M. Echavarren, ibid. 1997, 38, 7941-7942.
- [7] L. D. Foland, J. O. Karlsson, S. T. Perri, R. Schwabe, S. L. Xu, S. Patil,
 H. W. Moore, J. Am. Chem. Soc. 1989, 111, 975 989.
- [8] B. Engels, M. Hanrath, J. Am. Chem. Soc., in press.
- [9] B. Engels, C. Lennartz, M. Hanrath, M. Schmittel, M. Strittmatter, Angew. Chem., 1998, 110, issue 13/14; Angew. Chem. Int. Ed. 1998, 37, issue 13/14.
- [10] B. Engels, C. Lennartz, M. Hanrath, unpublished results.
- [11] A. Szabo, N. S. Ostlund, Modern Quantum Chemistry, Macmillan, New York, 1982.
- [12] An individually selecting MR-CI approach was used to compute the biradical intermediates: a) M. Hanrath, B. Engels, Chem. Phys. 1997, 225, 197-202. The reference space consisted of up to 15 configurations that led to total MR-CI configuration spaces of more than 500×10^6 spin-adapted state functions, from which $5 \times 10^6 - 8 \times 10^6$ were selected. The contribution of the neglected configurations was estimated with the Buenker-Peyerimhoff extrapolation scheme: b) R. J. Buenker, S. D. Peverimhoff, Theor. Chim. Acta 1975, 39. 217-228; c) R. J. Buenker, S. D. Peyerimhoff, W. Butscher, Mol. Phys. 1978, 35, 771-791; d) R. J. Buenker, R. A. Phillips, J. Mol. Struct. 1985, 123, 291 – 300, and references therein. Higher excitations were taken into account with the normalized form of the Davidson correction: e) J. E. Del Bene, E. A. Stahlberg, I. Shaviatt, Int. J. Quantum Chem. Symp. 1990, 24, 455-466. In these calculations, abbreviated below as MR-CI+Q, all 34 valence electrons were correlated. The calculations were carried out with the DIESEL-MR-CI program package: f) B. Engels, M. Hanrath, DIESEL-MR-CI (direct internal external separated individually selecting MR-CI) program package, Universität Bonn, Germany, 1997. For R = H, each transition state (TS) was optimized and also characterized by frequency calculations. Information about the shape of the potential surface around the TS was obtained by variation of the C2-C7 and C²-C⁶ distances with simultaneous free optimization of all other geometric parameters. For R = Ph, the shape of the potential surface was calculated. For all computed geometries (except $R_{C^2-C^6} = 1.7 \text{ Å}$) the value of the operator S^2 was zero. The calculations were conducted with the Gaussian 94 program package: g) M. J. Frisch, G. W. Trucks, H. B. Schlegel, P. M. W. Gill, B. G. Johnson, M. A. Robb, J. R. Cheeseman, T. Keith, G. A. Petersson, J. A. Montgomery, K. Raghavachari, M. A. Al-Laham, V. G. Zakrzewski, J. V. Ortiz, J. B. Fores-

- man, C. Y. Peng, P. Y. Ayala, W. Chen, M. W. Wong, J. L. Andres, E. S. Replogle, R. Gomperts, R. L. Martin, D. J. Fox, J. S. Binkley, D. J. Defrees, J. Baker, J. P. Stewart, M. Head-Gordon, C. Gonzalez, J. A. Pople, Gaussian, Pittsburgh, PA, 1995.
- [13] MR-CI computations for **1b**, **3b** (R=Ph) were too demanding. Hence, we estimated $\Delta E^{\rm r}$ for **2b** \rightarrow **1b**, **3b** using an increment derived from the reaction energies of the corresponding enyne-allene cyclization. [9]
- [14] a) M. Schmittel, M. Keller, S. Kiau, M. Strittmatter, Chem. Eur. J. 1997, 3, 807–816; b) P. Chen, Angew. Chem. 1996, 108, 1584–1586; Angew. Chem. Int. Ed. Engl. 1996, 35, 1478–1480.
- [15] Ketenimines can be prepared, for example, by dehydration of amides a) with phosphorous pentoxide in the presence of tertiary amines: C. L. Stevens, G. H. Singhal, J. Org. Chem. 1964, 29, 34–37; b) with triphenylphosphine dibromide and triethylamine: H. J. Bestmann, J. Lienert, L. Mott, Justus Liebigs Ann. Chem. 1968, 718, 24–32; or c) by Staudinger Wittig reaction of isocyanates with allylidene phosphoranes: L. Capuano, A. Willmes, Justus Liebigs Ann. Chem. 1982, 80–86.
- [16] E. Differding, L. Ghosez, Tetrahedron Lett. 1985, 26, 1647 1650.
- [17] Selected data for 8a: ¹H NMR (200 MHz, CDCl₃): δ = 2.26 (s, 3 H), 2.89 (s, 3 H), 6.63 (s, 1 H), 7.20 (d, ³J = 8.1 Hz, 1 H), 7.31 (d, ³J = 8.1 Hz, 1 H), 7.34 (dd, covered, 1 H), 7.49 7.56 (m, 3 H), 7.58 7.67 (m, 3 H), 7.77 (d, ³J = 8.5 Hz, 1 H), 7.83 (br s, 1 H), 8.17 (d, ³J = 8.5 Hz, 1 H); ¹³C NMR (50 MHz, CDCl₃): δ = 12.78, 21.39, 109.66, 110.51, 122.15, 122.63, 123.00, 123.39, 124.06, 124.67, 126.94, 127.61, 127.70, 128.00, 128.30, 128.79, 130.40, 130.82, 131.82, 138.19, 139.34, 140.37; IR (neat): $\bar{\nu}$ = 3433 (s, N H), 3061 (s), 3028 (m), 2919 (s), 2858 (m), 1618 (s), 1486 cm⁻¹ (s).
- [18] R. Brückner, R. Huisgen, J. Schmid, *Tetrahedron Lett.* 1990, 31, 7129 7132.
- [19] A. G. Myers, P. S. Dragovich, E. Y. Kuo, J. Am. Chem. Soc. 1992, 114, 9369 – 9386.

X-Ray Absorption Spectroscopy of Dimethylcuprates: Evidence for Solvent-Dependent Aggregation**

Hui Huang, Chong H. Liang, and James E. Penner-Hahn*

Organocopper reagents are widely used in organic synthesis. Although their properties depend on experimental conditions, with solvent often a key factor, [1] only limited information is available on the solvent dependence of organocopper structures. [2, 3] Vapor pressure depression and X-ray scattering measurements have suggested that lithium dimethylcuprate derived from cuprous halide is dimeric $(Me_2CuLi)_2$ in Et_2O ; [4a] this structure is supported by theoretical calculations. [4b, 5] In contrast, recent cryoscopic measurements suggest that monomeric lithium dimethylcuprate is the major species in THF. [3] Dimethylcuprates derived from cuprous halide give different ¹H and ⁷Li NMR signals in THF and Et_2O , [2b] consistent with a solvent-dependent difference in structure. However, to date there have been no direct data

^[*] Prof. Dr. J. E. Penner-Hahn, Dr. H. Huang, C. H. Liang Department of Chemistry The University of Michigan Ann Arbor, Michigan 48109-1055 (USA) Fax: (+1)734-647-4865 E-mail: jeph@umich.edu

^[**] XAS spectra were measured in Stanford Synchrotron Radiation Laboratory. We thank Prof. William Pearson and Prof. M. David Curtis for help with sample preparation.